

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 711/3 <div style="font-size: 2em; font-weight: bold; text-align: center;">10/590740</div> U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO. PCT/JP2005/015770	INTERNATIONAL FILING DATE 30 August 2005	PRIORITY DATE CLAIMED 07 September 2004
TITLE OF INVENTION INFORMATION SEARCH PROVISION APPARATUS AND INFORMATION SEARCH PROVISION SYSTEM		
APPLICANT(S) FOR DO/EO/US Shigeki Uetabira and Mitsugu Uetabira		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 		

IAF5 Rec'd PCT/PTO 24 AUG 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. 107590740 Not yet assigned	INTERNATIONAL APPLICATION NO. PCT/JP2005/015770	ATTORNEY'S DOCKET NUMBER 711/3																						
20. Other items or information: Copy of Title Page of International Published Application and 42 drawing sheets of FIGS. 1-42.																								
The following fees have been submitted		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 60%;">CALCULATIONS</th> <th style="width: 40%;">PTO USE ONLY</th> </tr> </table>	CALCULATIONS	PTO USE ONLY																				
CALCULATIONS	PTO USE ONLY																							
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 300.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 300.00																					
\$ 300.00																								
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations..... \$200		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 200.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 200.00																					
\$ 200.00																								
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations..... \$500		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 400.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 400.00																					
\$ 400.00																								
TOTAL OF 21, 22 and 23 =																								
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																								
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">Total Sheets</th> <th style="width: 20%;">Extra Sheets</th> <th style="width: 30%;">Number of each additional 50 or fraction thereof (round up to a whole number)</th> <th style="width: 30%;">RATE</th> </tr> <tr> <td>183 - 100 =</td> <td>83 /50 =</td> <td>2</td> <td>x \$250</td> </tr> </table>	Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	183 - 100 =	83 /50 =	2	x \$250	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 500.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 500.00														
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																					
183 - 100 =	83 /50 =	2	x \$250																					
\$ 500.00																								
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$</td> <td style="width: 40%;"></td> </tr> </table>	\$																					
\$																								
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 30%;">NUMBER EXTRA</th> <th style="width: 30%;">RATE</th> </tr> <tr> <td>Total claims</td> <td>81 - 20 =</td> <td>61</td> <td>x \$ 50</td> </tr> <tr> <td>Independent claims</td> <td>12 - 3 =</td> <td>9</td> <td>x \$200</td> </tr> <tr> <td colspan="3"></td> <td>+ \$360</td> </tr> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	81 - 20 =	61	x \$ 50	Independent claims	12 - 3 =	9	x \$200				+ \$360	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 3,050.00</td> <td style="width: 40%;"></td> </tr> <tr> <td style="width: 60%;">\$ 1,800.00</td> <td style="width: 40%;"></td> </tr> <tr> <td style="width: 60%;">\$</td> <td style="width: 40%;"></td> </tr> </table>	\$ 3,050.00		\$ 1,800.00		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																					
Total claims	81 - 20 =	61	x \$ 50																					
Independent claims	12 - 3 =	9	x \$200																					
			+ \$360																					
\$ 3,050.00																								
\$ 1,800.00																								
\$																								
TOTAL OF ABOVE CALCULATIONS =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 6,250.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 6,250.00																					
\$ 6,250.00																								
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.																								
SUBTOTAL =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 3,125.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 3,125.00																					
\$ 3,125.00																								
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$</td> <td style="width: 40%;"></td> </tr> </table>	\$																					
\$																								
TOTAL NATIONAL FEE =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 3,125.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 3,125.00																					
\$ 3,125.00																								
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 40.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 40.00																					
\$ 40.00																								
TOTAL FEES ENCLOSED =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 3,165.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 3,165.00																					
\$ 3,165.00																								
		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Amount to be refunded:</td> <td style="width: 40%;">\$</td> </tr> </table>	Amount to be refunded:	\$																				
Amount to be refunded:	\$																							
		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Amount to be charged</td> <td style="width: 40%;">\$</td> </tr> </table>	Amount to be charged	\$																				
Amount to be charged	\$																							


10/590740

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0223. A duplicate copy of this sheet is enclosed.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

KAPLAN GILMAN GIBSON & DERNIER LLP
900 Route 9 North, 5th Floor
Woodbridge, NJ 07095
Telephone (732) 634-7634


SIGNATURE

Matthew B. Dernier
NAME

40,989
REGISTRATION NUMBER

KAPLAN GILMAN GIBSON & DERNIER LLP

COUNSELORS AT LAW

10/590740

MICHAEL R. GILMAN¹
JEFFREY I. KAPLAN
TIMOTHY X. GIBSON
MATTHEW B. DERNIER

900 ROUTE 9 NORTH, WOODBRIDGE, NJ 07095
TELEPHONE (732) 634-7634, FACSIMILE (732) 634-6887

WWW.KGGD.COM

OF COUNSEL

JOSEPH B. LERCH

KEVIN M. CURRAN¹¹

REG. PATENT ATTORNEY

LESLIE S. GARMAISE¹¹¹

¹ NY AND CT BAR ONLY

¹¹ NY AND IL BAR ONLY

¹¹¹ CO, IL AND TX BAR ONLY

EV739151385US

August 24, 2006

VIA EXPRESS MAIL

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Re: Applicants: Shigeki Uetabira and Mitsugu Uetabira
Title: INFORMATION SEARCH PROVISION APPARATUS
AND INFORMATION SEARCH PROVISION SYSTEM
International Application No.: PCT/JP2005/015770
International Filing Date: 30 August 2005
Priority Date Claimed: 07 September 2004
Attorney Docket No.: 711/3

Dear Sir or Madam:

Submitted herewith are documents constituting a U.S. Patent Application under 35 U.S.C. § 371.
The documents include:

1. A Transmittal Letter expressly requesting to begin national examination procedures;
2. A literal translation of the International Application as filed in English (including 42 drawings);
3. A copy of the Title Page of the published International Application;
4. A Preliminary Amendment;
5. An executed Declaration and Power of Attorney;
6. An completed Application Data Sheet;
7. An Information Disclosure Statement and 7 cited references;
8. An executed Assignment with Recordation Cover Sheet;
9. A self addressed, postage prepaid return postcard; and
10. Completed Credit Card form.

Patents • Trademarks • Copyrights • Licensing • Litigation

NEW YORK OFFICE:

305 MADISON AVENUE, SUITE 449, NEW YORK, NEW YORK 10165, TEL: (212) 807-4171, FAX: (212) 957-1912

Commissioner for Patents

August 24, 2006

Page 2

The Commissioner is authorized to charge any additional fees which may be required, or to credit any overpayment to our Deposit Account No. 11-0223.

Very truly yours,

KAPLAN GILMAN GIBSON & DERNIER LLP

A handwritten signature in black ink, appearing to read 'Matthew B. Dernier', with a long horizontal flourish extending to the right.

Matthew B. Dernier

Reg. No. 40,989

MBD/pa

Enclosures

F:\Clients\Primeworks-711\711-3\711-3_PCT Cover Letter National Phase.doc